**Texas**

**Standard**

**Electronic**

**Transaction**

**814\_28:**

##### Complete Unexecutable or Permit Required

Electronic Data Interchange

ANSI ASC X12 Ver/Rel 004010

Transaction Set 814

**Texas 814\_28:**

Complete Unexecutable or Permit Required

This transaction Set is for Move-Ins where a Permit is required or for Switches, Move-Ins and Move-Outs where the CR’s request cannot be completed for some reason (Completed Unexecutable).

* For a Switch where the TDSP is unable to complete the Switch request because of one of the reasons listed in the REF~G7 Complete Unexecutable Reason.
* This transaction is from the TDSP to ERCOT, from ERCOT to the New CR and the Current CR to notify the New CR and Current CR that the Switch request was Completed Unexecutable.
* Once the TDSP sends this transaction to ERCOT to notify the New and Current CR of the Completed Unexecutable reason, the TDSP will close the business process instance for the initiating transaction.
* The New CR and/or Customer must complete some action in order that a subsequent Switch request can be submitted to the TDSP.
* For a Move-Out, this transaction is from the TDSP to ERCOT and from ERCOT to the Current CR, to notify the Current CR that the Move-Out was Completed Unexecutable due to one of the reasons listed in the REF~7G.
* Once the TDSP sends this transaction to ERCOT to notify the Current CR of the Completed Unexecutable reason, the TDSP will close the business process instance for the initiating transaction.
* The Current CR and/or Customer must complete some action in order that a subsequent Move Out request can be submitted to the TDSP.
* For a Move In, where the TDSP is unable to complete the Move In request because of one of the reasons listed in the REF~G7 Complete Unexecutable Reason.
* This transaction is from the TDSP to ERCOT and from ERCOT to the New CR (and also the Current CR if the Move In was for an energized premise and the Current CR has received notification of the Move In), to notify the New CR that the Move In request was Completed Unexecutable.
* Once the TDSP sends this transaction to ERCOT to notify the New CR of the Completed Unexecutable reason, the TDSP will close the business process instance for the initiating transaction.
* The New CR and/or Customer must complete some action in order that a subsequent the Move In request can be submitted to the TDSP.
* For a Move-In when a permit is required
* This transaction is from the TDSP to ERCOT and from ERCOT to the New CR to notify the New CR that a permit is required.
* Once the TDSP sends this transaction to ERCOT to notify the New CR that a Permit is Required, ERCOT will separately track the 20-Business Day non-response for the 814\_04 due to permit requirements.
* After the 20-Business Day non-response for the 814\_04, ERCOT will then issue an 814\_08 Cancel. If the Move In is cancelled due to permit not received, ERCOT will note this reason in the 814\_08 transaction.

Document Flow:

* TDSP to ERCOT
* ERCOT to Current CR for a Move-Out
* ERCOT to Current CR for a Move-In on an energized account
* ERCOT to New CR for a Move-In
* ERCOT to New CR for a Switch
* ERCOT to Current CR for a Switch

The Functional Acknowledgement (997) transaction set from the receiver of the originating transaction to the sender of the originating transaction, is used to acknowledge the receipt of the originating transaction and indicate whether the transaction passed ANSI X12 validation. This acknowledgement does not imply that the originating transaction passed Texas SET validation. “CR, TDSP, or ERCOT shall respond with a 997 within 24 hours of receipt of an inbound transaction.”

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|  |  | **Summary of Changes** |
| November 30, 2010  Version 3.0A |  | Initial Release |
| June 11, 2012  Version 4.0 |  | Updated examples for TX SET 4.0 |
| November 11, 2024  Version 5.0 |  | No changes for Texas SET 5.0 |

814\_28 Example #1 of 4

Permit Required – TDSP to ERCOT

|  |  |  |
| --- | --- | --- |
| TDSP notifies ERCOT that Move-In Request is suspended due to Permit Required. | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~200805101201001~20080510~~~200805101956534~PT~28 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Transaction Type Code, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543~~41 | | TDSP Name and DUNS Number, Sender |
| N1~AY~ERCOT~1~183529049~~40 | | ERCOT Name and DUNS Number, Receiver |
| N1~SJ~CR NAME~1~987654321 | | CR Name and DUNS Number |
| N1~8R~CUSTOMER NAME | | Customer Name |
|  | N3~123 MAIN AVE | Customer Service Address |
|  | N4~ANYTOWN~TX~77777 | Customer Service Address City, State, Zip |
| LIN~1~SH~EL~SH~CE~SH~MVI | | Permit Required associated with a Move In |
|  | ASI~PT~021 | Permit Required, Move-In |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~SU~N | Special Needs Indicator |
|  | REF~2U~TENANT | Tenant Permit Indicator |
| SE~14~000000001 | | Number of Segments, Transaction Set Control Number |

814\_28 Example #2 of 4

Complete Unexecutable– TDSP to ERCOT

|  |  |  |
| --- | --- | --- |
| TDSP notifies ERCOT that Move-In Request is Completed Unexecutable | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~200805101201001~20090204~~~200805101956534~09~28 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Transaction Type Code, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543~~41 | | TDSP Name and DUNS Number, Sender |
| N1~AY~ERCOT~1~183529049~~40 | | ERCOT Name and DUNS Number, Receiver |
| N1~SJ~CR NAME~1~987654321 | | CR Name and DUNS Number |
| N1~8R~CUSTOMER NAME | | Customer Name |
|  | N3~123 MAIN AVE | Customer Service Address |
|  | N4~ANYTOWN~TX~77777 | Customer Service Address City, State, Zip |
| LIN~1~SH~EL~SH~CE~SH~MVI | | Completed Unexecutable associated with a Move In |
|  | ASI~9~021 | Completed Unexecutable, Move-In |
|  | REF~G7~T018~SVC MAN COULD NOT LOCATE LIGHT | Complete Unexecutable TDSP Reason, Explanation |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~SU~N | Special Needs Indicator |
| SE~14~000000001 | | Number of Segments, Transaction Set Control Number |

814\_28 Example #3 of 4

Complete Unexecutable– TDSP to ERCOT

|  |  |  |
| --- | --- | --- |
| TDSP notifies ERCOT that Self Selected Switch Request is Completed Unexecutable for tampering found when completing switch | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20120704~~~201207101956534~09~28 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Transaction Type Code, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543~~41 | | TDSP Name and DUNS Number, Sender |
| N1~AY~ERCOT~1~183529049~~40 | | ERCOT Name and DUNS Number, Receiver |
| N1~SJ~CR NAME~1~987654321 | | CR Name and DUNS Number |
| N1~8R~CUSTOMER NAME | | Customer Name |
|  | N3~123 MAIN AVE | Customer Service Address |
|  | N4~ANYTOWN~TX~77777 | Customer Service Address City, State, Zip |
| LIN~1~SH~EL~SH~CE~SH~SW | | Completed Unexecutable associated with a Switch |
|  | ASI~9~101 | Completed Unexecutable, Switch |
|  | REF~G7~T019 | Completed Unexecuted, Tampering |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~SU~N | Special Needs Indicator |
| SE~14~000000001 | | Number of Segments, Transaction Set Control Number |

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Complete Unexecutable– TDSP to ERCOT

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| TDSP notifies ERCOT that Standard Switch Request is Completed Unexecutable for a Switch Hold recently being applied | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20120704~~~201207101956534~09~28 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Transaction Type Code, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543~~41 | | TDSP Name and DUNS Number, Sender |
| N1~AY~ERCOT~1~183529049~~40 | | ERCOT Name and DUNS Number, Receiver |
| N1~SJ~CR NAME~1~987654321 | | CR Name and DUNS Number |
| N1~8R~CUSTOMER NAME | | Customer Name |
|  | N3~123 MAIN AVE | Customer Service Address |
|  | N4~ANYTOWN~TX~77777 | Customer Service Address City, State, Zip |
| LIN~1~SH~EL~SH~CE~SH~SW | | Completed Unexecutable associated with a Move In |
|  | ASI~9~101 | Completed Unexecutable, Switch |
|  | REF~G7~T024 | Completed Unexecuted, Switch or Move-In Request cannot be completed due to Switch Hold recently applied to this ESI ID |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~SU~N | Special Needs Indicator |
| SE~14~000000001 | | Number of Segments, Transaction Set Control Number |