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| **High Level Requirement #** | **REQUIREMENT** | **DESCRIPTION**  |
| 1 | Revise **Inadvertent Gain/Loss/Rescission workflows** to remove Ready to Receive transition | * Removing TDSP from workflows
* Adding “Provide Regaining BGN02” transition with “Proposed Regain Date” as a required field (excluding Rescission which will be prepopulated with DOL+1)
* Following validations on ‘proposed regain date’. If any are not met, appropriate hard stop error messages will populate calling for new proposed regain date to be submitted
	+ Regain Date is not < Date of Loss + 1 day
	+ Regain Date is not > MarkeTrak submit date + 10 days
	+ Regain Date is not > 150 days in the past
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| 2 | Develop a unique set of **Unexecutable Reasons** for each applicable Subtype | Cancel w/Approval – “Unable to Cancel” reasons:* Transaction is already Complete in the TDSP system
* Per ERCOT Protocols, the CR must send an 814\_08 Cancel Request unless there is a system outage and the CR is unable to send/receive TXSET EDI transactions
* Incorrect ESI ID Provided
* Transaction does not exist in TDSP system
* Incorrect Original Tran ID provided

Switch Hold Removal – secondary drop down when “Documentation Invalid/Incomplete” is selected * Incorrect or missing documents
* Illegible supporting documents
* Address not listed on documents
* Missing phone number on New Occupant Statement
* Signatures missing on the lease agreement
* Address on the lease does not match the service address
* The name on the lease does not match New Occupant Statement
* ESI ID invalid or not found
* ESI ID not listed on New Occupant Statement
* New customer is same as current tenant
* Other
	+ Comments Required
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| 3 | Add a **150-day validation** on the **Proposed Regain Date** for Inadvertent Gain, Inadvertent Loss workflows | * Pop up warning validation message: “*Regain dates > 150 days in the past will be rejected by the TDSP*”
* User must select Cancel and change the Proposed Regain Date in order for the issue to move forward
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| 4 | Reduce **25 day validatio**n on the **Customer Rescission** workflow to 15 days | * Current hard stop 25-day validation will be reduced to 15 days.
* Updated error message to display: “*Error:  This issue is unable to proceed because the effective date of the originating transaction at this premise was more than 15 calendar days in the past.  Please enter a different ESIID or consult the Retail Market Guide to determine the proper course of action.”*
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| 5 | Creation of a new **Meter Cycle Change Request** subtype | * May only be submitted by the REP of Record
* Required fields: Assignee, Assign to Pending, ESI ID, Comments (optional)
* New fields:
	+ “Current Meter Read Cycle” – 2 digits (e.g. cycle 1 would be entered as 01)
	+ “Proposed Meter Read Cycle” - 2 digits
* New transition: “Approved”
* Unexecutable transition with dropdown “Unexecutable Reason”:
	+ Only one meter cycle change per ESIID per Rep of Record for this Customer
	+ Causes cycle imbalance
	+ Unable to Accommodate
		- Comments required if this value selected
	+ Surpasses TDSP cycle threshold
* Workflow to follow “Other” subtype
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| 6 | Creation of a new **867 vs. Sum of LSE Intervals-Dispute** subtype | * Dispute requiring the 867 TRAN ID to be entered for reference and start date/time and end date/time stamps should match the 867\_03 transaction dates for validation
* Will follow “Usage & Billing – Dispute” workflow
* Required fields: Assignee, Assign to Pending, ESI ID, Comments
* Format of dates will remove the hh:mm:ss
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| 7 | Redesign **AMS LSE-Dispute** subtype | * Redesigning existing AMS LSE-Dispute subtype to support various reasons for submittal.
* New field “Reason to Dispute” dropdown:
	+ Disputing Peak Interval
	+ Requesting Estimation Methodology Utilized
	+ Disputing interval allocation of estimated consumption
	+ 4CP Verification
	+ Other
		- Comments required if ‘Other’ selected
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| 8 | Add drop down to **Usage & Billing-Dispute** subtype to **clarify expected results** | Adding a drop down (YES/NO) if corrections are to be expected as a result of the dispute. New field: “Corrections Expected” with drop down |
| 9 | **Expand Siebel validations** on the Submit transition for **Missing Enrollment TXNs** subtype | When submitting the Missing Enrollment TXN subtype, new validations will be performed:1. Checking status of transaction requested – if “Cancelled” – **hard stop**
	1. *“The service order for the Global ID provided has been cancelled in ERCOT Registration System. Please update the ESIID/Original Tran ID provided and click OK or click Cancel to exit”.*
2. If 867\_04 has been posted – **hard stop**
	1. *“The 867\_04 transaction has already been sent””*
3. Review date parameter on 814\_04/05 and consider date changes/cancels – if > 5 days, allow MT to proceed – **warning**
	1. *“Please allow at least 5 days for the 867\_04 to be sent by the TDSP”.*
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| 10 | Modify **Switch Hold Removal** subtype to all **TDSPs to execute Time Limit Exceeded transition** | Adding a new transition that will allow the TDSPs to release a Switch Hold and complete the MarkeTrak when REPs fail to respond. * “Time Limit Exceeded” button added in TDSP workflow when issue in a state of “New” and “In Progress”
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| 11 | Create **additional validations** on the Submit transition for **Switch Hold Removal** subtype | Two additional validations to be performed when a REP is submitting a Switch Hold:1. If no Switch Hold exists on the ESI – **warning**
	1. *“ERCOT system indicates there is no Switch Hold currently in place on the ESIID provided. ”*
2. If the Submitting REP is the current REP of Record – **warning**
	1. *“Switch Hold Removal issues should not be submitted by the current Rep of Record. Click OK to continue with the submit process or Cancel to exit.”*
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| 12 | Modify **Switch Hold Removal** subtype for ERCOT to **auto populate the holding CR** | * ERCOT to auto-populate the holding CR prior to transitioning the MT to the TDSP
* If the ESI ID is de-energized (in ERCOT) and there is no current ROR, ERCOT will transition to TDSP with a null value in Assignee field
* Pre-populated Assignee value can be modified by TDSP
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| 13 | Change the name of the Complete transition to **Agree/Complete** on **Siebel Chg/Info** subtype | Modify the “Complete” transition button to “Agree/Complete” providing clarity the market participant agrees to the proposed change |
| 14 | Add a **warning message** on the Submit transition for **Inadvertent Gain, Inadvertent Loss, Customer Rescission** subtypes for **third party transactions that are “Scheduled” or “In Review”** | During the submittal of a IAG or IAL MT, a warning message will populate if any third-party or MVO transactions are “Scheduled” or “In Review” that would result in an unexecutable response and/or a rejected transaction.**Warning** message:* *“There is a third party or Move Out transaction in a status of “Scheduled” or “In Review” in the ERCOT Registration System for the ESIID provided. Please review prior to proceeding with Submit to avoid a potential Unexecutable situation.”*
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| 15 | Add **new reject codes** to the **Reject TXNs** subtype – ERCOT requirement to support TX Set 5.0 | Modify the reject code field to eliminate the drop down reject codes due to the 36 new codes to be added to TXSET v5.0 and move to a freeform field with Comments required if reject code = A13 |