**Texas**

**Standard**

**Electronic**

**Transaction**

**814\_13:**

##### Date Change Response

Electronic Data Interchange

ANSI ASC X12 Ver/Rel 004010

Transaction Set 814

**Texas 814\_13:**

Date Change Response

This transaction set...

... from ERCOT to new CR, is used to acknowledge the requested date change to the original Move-In date on the 814\_12 Date Change Request.

... from the current CR to ERCOT, is no longer valid as of TX SET 4.0.

... from the CSA CR to ERCOT is no longer valid as of TX SET 4.0.

... from the TDSP to ERCOT, is used to acknowledge the requested date change to the original Move-In or Move-Out date on the 814\_12 Date Change Request.

... from ERCOT to the current CR is used to acknowledge the requested date change to the original move out date on the 814\_12 Date Change Request.

Document Flow:

* ERCOT to New CR (Move In Only)
* TDSP to ERCOT (Move In or Move Out)
* ERCOT to Current CR (Move Out Only)

The Functional Acknowledgement (997) transaction set from the receiver of the originating transaction to the sender of the originating transaction, is used to acknowledge the receipt of the originating transaction and indicate whether the transaction passed ANSI X12 validation. This acknowledgement does not imply that the originating transaction passed Texas SET validation. “CR, TDSP, or ERCOT shall respond with a 997 within 24 hours of receipt of an inbound transaction.”

|  |  |  |
| --- | --- | --- |
|  |  | Summary of Changes |
| July 3, 2000  Version 1.0 |  | Initial Release |
|  |  |  |
| August 23, 2000  Version 1.1 |  | The following changes were made: |
|  |  | * Added rejection reason codes “A83”, “API” and “ZIP” in REF~7G |
|  |  | * Change transaction description page. |
|  |  |  |
| December 12, 2000  Version 1.2 |  | The following changes were made: |
|  |  | * Change the term Utility to Transmission Distribution Service Provider (TDSP) to match ERCOT Protocols. |
|  |  | * Change the term Retail Electric Provider (REP) to Competitive Retailer (CR) to match ERCOT Protocols. |
|  |  | * Change description page transaction purpose to read the same as ERCOT Protocols. |
|  |  | * Insert the “How to use this Implementation Guide” page. |
|  |  | * Remove “.” between X and 12 in all references to ANSI ASC X12. |
|  |  | * Add BGN08 Element to indicate the TX SET Transaction Number per Change Control #2000-015. |
|  |  | * Add examples to end of transaction. |
|  |  |  |
| March 16, 2001  Version 1.3 |  | The following changes were made: |
|  |  | * Removed Scenario Names from Transaction Description page |
|  |  | * Clarified Transaction Description on page 2 for Change in Service Period End Date |
|  |  | * Corrected the How to Use this Implementation Guide page |
|  |  | * Corrected X12 Structure page |
|  |  | * Corrected title of transaction to refer to “Date Change Request” instead of “Move-In/Out Date Change Request” per patch list |
|  |  | * Corrected N1 remove “or receiver” from Requirements on TDSP since TDSP cannot be the receiver per patch list |
|  |  | * Corrected N1~SJ gray box examples |
|  |  | * Clarified REF~1P~MDI Move Date Inconsistent to state that this may only be sent by ERCOT |
|  |  |  |
| August 3, 2001  Version1.4 |  | The following changes were made: |
|  |  | * Modify the REF~7G gray box; add the statement, “More than one rejection reason code may be sent, by repeating the REF~7G segment.”. Ref. 2001-119 |
|  |  |  |
| June 17th, 2002  Version 1.5 |  | The following changes were made: |
|  |  | * Change Control 2002-249 – Added DTM~375 and DTM~376 segments |
|  |  | * Change Control 2002-267 - Removed “9” value from the N103 in the N1~AY loop. |
| 9/15/02 |  | * Change Control 2002-366 – Modified gray box on the DTM~375 |
|  |  | * Change Control 2002-389 – Added TWO new reject codes “A78” and “A79” to the REF~7G |
| 10/15/02 |  | * Change Control 2002-422 – Replaced reference to POLR with AREP |
| May 27th, 2003  Version 1.6 |  | The following changes were made: |
| 5/27/03 |  | * Change Control 2002-386 – Removed D-U-N-S+4 from the N104 gray box in the N1~AY loop |
| 5/29/03 |  | * Change Control 2002-414 Added example #11 Move-out Date Change Reject Response ERCOT to Current CR |
| 5/29/03 |  | * Change Control 2002-458 Add note to the 814\_13 that the REF~1P is not used by the CR |
| July27th, 2003  Version 2.0 |  | * Change Control 2002-400 - Add the OA code to the N106 of the N1~8S (TDSP) segment. |
| 7/18/03 |  | * Change Control 2003-523 - Add codes to the 814\_13 |
| 8/15/03 |  | * Change Control 2003-544 - Add the ‘NOR’ and ‘SCP’ Rejection Reasons to the 814\_13 |
| 8/15/03 |  | * Change Control 2003-546 - Add mandatory DTM~RTO segment to 814\_12 and 814\_13 transactions. Update ALL examples to include this segment. |
| 8/15/03 |  | * Change Control 2003-547 – Please include the Move-In/Move-Out Task Force (MIMO) High Level Requirement Specification as part of the Baseline for Version 2.0. |
| 8/15/03 |  | * Change Control 2003-548 - Remove the REF~1P segment from the 814\_03, 814\_05, 814\_13, and 814\_25, remove all references to the REF~1P from the 814\_03, 814\_05, 814\_13, and 814\_25 transactions, and update the examples. |
| 8/15/03 |  | * Change Control 2003-554 - Add a new ‘CW2’ code to the 814\_09 and 814\_13 |
| 9/18/03 |  | * Change Control 2003-563 - A new reject code to be used by ERCOT for rejecting duplicate transactions for the 814\_01, 08, 10, 12,16, 18, 20, 24, 26, & 28 |
| October 8, 2004  Version 2.0A |  | Change Control 2004-634:   * As per discussions at the June 04 TX SET meeting, additional language should be added to each Transaction Set to identify the requirements and required response to the 997 Functional acknowledgement – The Functional Acknowledgement (997) transaction set from the receiver of the originating transaction to the sender of the originating transaction, is used to acknowledge the receipt of the originating transaction and indicate whether the transaction passed ANSI X12 validation. This acknowledgement does not imply that the originating transaction passed Texas SET validation. “CR, TDSP, or ERCOT shall respond with a 997 within 24 hours of receipt of an inbound transaction.” |
| March 1, 2005  Version 2.1 |  | Change Control 2003-569:   * Provide gray box clarification to the LIN segment to indicate that the Texas Market supports only 1 LIN loop per EDI Transaction.   Change Control 2003-571:   * Move segment gray box verbiage for A78 being used only by ERCOT and TDSP to gray box on actual code   Change Control 2004-597:   * Add RNE to list of status reasons in the REF~7G   Change Control 2004-601:   * Add new reject code ‘ICD’ the list of reject codes in the 814\_13   Change Control 2004-604:   * The gray boxes in CC2003-400 were written such that the ‘OA’ code will be sent on every response from the TDSP, reject or accept. This needs to be changed to only send the ‘OA’ code on rejects to be consistent with CC2003-401, which is the same change for the 814\_11   Change Control 2004-657:   * Update the REF~7G Gray boxes where any code references a MIMO rule but does not specifically state the text “MIMO Rules”   Change Control 2005-683:   * Add clarity to the transaction notes section regarding the Texas Market use of characters in alphanumeric fields |
| September 1, 2006  Version 3.0 |  | Change Control 2006-692:   * Create transactional process for handling a CR to CR Mass Transition to reduce and/or eliminate the manual processes currently required to transition ESI IDs during a Mass Transition * Use existing reject REF~7G segment with new code of ‘017’ represents Service Terminated because Service Provider went Out of Business. |
| November 30, 2010  Version 3.0A |  | Change Control 2009-729:   * Remove examples from Implementation Guides and create separate documents to allow for quicker correction and addition of new examples without a TX SET release |
| June 11, 2012  Version 4.0 |  | Change Control 2010-733:   * Discontinue use of the following transactions submitted to ERCOT by a CR 814\_07, 814\_09, 814\_13, 814\_15, 814\_19, 814\_21, 814\_23, & 814\_29.   Change Control 2010-743:   * Addition of new reject code CW1 for use when a CR submits an 814\_08 or 814\_12 within 1 day of Scheduled Meter Read   Change Control 2010-751:   * Adding new codes Force Majeure Event (FME) and Weather Moratorium (WTM).   Change Control 2010-785:   * Adding new codes Competing Transaction Scheduled for the Same Date (TCC) and Two Business Days Notification Required (TDR) |
| November 2, 2020  Version 4.0A |  | Change Control 2020-811:   * Administrative updates to the TX SET Guides in order to reflect actual transaction processing. Changes included limiting number of REF segments, clarification for punctuation and clarification of ESI ID format.   Change Control 2020-820   * Recipients of the Select Language Characters (Special Characters) found in the Extended Character Set of the Application Control Structure can be rejected with a 997 Reject. |
| TBD  Version 5.0 |  | Change Control 2021-830   * Add new reject codes 090, 270, DCI, DNS & RSD to be used instead of A13 to provide more details on the reason for the rejection * Remove references to MIMO rules |

**How to Use this Implementation Guide**

This section is used to show the **X12 Rules** for this segment. You must look further into the grayboxes below for Texas Rules.

**Segment: REF Reference Identification (ESI ID)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

1. If either C04003 or C04004 is present, then the other is required.
2. If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

This section is used to show the Texas Rules for implementation of this segment.

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Required |
|  |  | REF~Q5~~10111111234567890ABCDEFGHIJKLMNOPQRS |

**Data Element Summary**

One or more examples.

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | |
|  | | | | Q5 |  | Property Control Number | | | | |
|  | | | | | | Electric Service Identifier (ESI ID) | | | |
| **Must Use** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | |
|  | | | ESI ID | | | | | | | |

This column shows the Texas use of each data element.

This column shows the X12 attributes for each data element.

M = Mandatory

O = Optional

X = Relational

C = Conditional

AN = Alphanumeric

N# = Implied Decimal at position #

ID = Identification

R = Real

1/30 = Minimum 1, Maximum 30

These are X12 code descriptions, which often do not relate to the Texas descriptions.

X12 cannot keep up with Texas needs, thus, Texas often changes the meaning of existing codes. See the corresponding graybox for the Texas definitions.

814 General Request, Response or Confirmation

ANSI ASC X12 Structure

**Functional Group ID=GE**

**Introduction:**

This Draft Standard for Trial Use contains the format and establishes the data contents of the General Request, Response or Confirmation Transaction Set (814) for use within the context of an Electronic Data Interchange (EDI) environment. This standard can be used to request actions to be performed, to respond to a request for actions to be performed or to confirm information related to actions performed.

**Heading:**

**Pos. Seg. Req. Loop Notes and**

**No. ID Name Des. Max.Use Repeat Comments**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| M | 010 | ST | Transaction Set Header | M | 1 |  |  |  |  |  |  |  |  |
| M | 020 | BGN | Beginning Segment | M | 1 |  |  |  |  |  |  |  |  |
|  |  |  | LOOP ID – N1 |  |  | >1 |  |  |  |  |  |  |  |
|  | 040 | N1 | Name | O | 1 |  | n1 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |

**Detail:**

**Pos. Seg. Req. Loop Notes and**

**No. ID Name Des. Max.Use Repeat Comments**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  | LOOP ID – LIN |  |  | >1 |  |  |  |  |  |  |  |
|  | 010 | LIN | Item Identification | O | 1 |  |  |  |  |  |  |  |  |
|  | 020 | ASI | Action or Status Indicator | O | 1 |  |  |  |  |  |  |  |  |
|  | 030 | REF | Reference Identification | O | >1 |  |  |  |  |  |  |  |  |
|  | 040 | DTM | Date/Time Reference | O | >1 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| M | 150 | SE | Transaction Set Trailer | M | 1 |  |  |  |  |  |  |  |  |

**Transaction Set Notes**

1. The N1 loop is used to identify the transaction sender and receiver.

For use on an alphanumeric field, Texas SET recognizes all characters within the Basic Character Set. Within the Extended Character Set, Texas SET recognizes all character sets except all Select Language Characters found in Section 3.3.2 item (4) of X12 Application Control Structure. Exceptions to ANSI Standards for alphanumeric fields are noted in gray boxes of this Implementation Guide.

Receipt of the Select Language Characters found in Section 3.3.2 item (4) of the Application Control Structure may be rejected with a 997 Reject transaction by recipient.

For reference, the Select Language Characters found in Section 3.3.2 item (4) of the ANSI Standards are:

À|Á|Â|Ä|à|á|â|ä|È|É|Ê|è|é|ê|ë|Ì|Í|Î|ì|í|î|ï|Ò|Ó|Ô|Ö|ò|ó|ô|ö|Ù|Ú|Û|Ü|ù|ú|û|ü|Ç|ç|Ñ|ñ|¿|¡

**Segment: ST Transaction Set Header**

**Position:** 010

**Loop:**

**Level:** Heading

**Usage:** Mandatory

**Max Use:** 1

**Purpose:** To indicate the start of a transaction set and to assign a control number

**Syntax Notes:**

**Semantic Notes:** **1** The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Required |
|  |  | ST~814~000000001 |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **ST01** | **143** | **Transaction Set Identifier Code** | | | | **M** |  | **ID 3/3** | |
|  | | | Code uniquely identifying a Transaction Set | | | | | | |
|  | | | | 814 |  | General Request, Response or Confirmation | | | |
| **Must Use** | **ST02** | **329** | **Transaction Set Control Number** | | | | **M** |  | **AN 4/9** | |
|  | | | Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set | | | | | | |

**Segment: BGN Beginning Segment**

**Position:** 020

**Loop:**

**Level:** Heading

**Usage:** Mandatory

**Max Use:** 1

**Purpose:** To indicate the beginning of a transaction set

**Syntax Notes:** **1** If BGN05 is present, then BGN04 is required.

**Semantic Notes:** **1** BGN02 is the transaction set reference number.

**2** BGN03 is the transaction set date.

**3** BGN04 is the transaction set time.

**4** BGN05 is the transaction set time qualifier.

**5** BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction.

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Required |
|  |  | BGN~11~200104021200719~20010402~~~200104011956531~~13 |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **BGN01** | **353** | **Transaction Set Purpose Code** | | | | **M** |  | **ID 2/2** | | |
|  | | | Code identifying purpose of transaction set | | | | | | | |
|  | | | | 11 |  | Response | | | | |
| **Must Use** | **BGN02** | **127** | **Reference Identification** | | | | **M** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | |
|  | | | A unique transaction identification number assigned by the originator of this transaction. This number must be unique over time.  Transaction Reference numbers will only contain uppercase letters (A to Z) and digits (0 to 9). Note that punctuation (spaces, dashes, etc.) must be excluded. | | | | | | | |
| **Must Use** | **BGN03** | **373** | **Date** | | | | **M** |  | **DT 8/8** | | |
|  | | | Date expressed as CCYYMMDD | | | | | | | |
|  | | | The transaction creation date - the date that the data was processed by the sender's application system. | | | | | | | |
| **Must Use** | **BGN06** | **127** | **Reference Identification** | | | | **O** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | |
|  | | | Refers to the BGN06 of the Date Change Request (814\_12). This number will be tracked in the BGN06 throughout the lifecycle of the respective process. | | | | | | | |
| **Must Use** | **BGN08** | **306** | **Action Code** | | | | **O** |  | **ID 1/2** | | |
|  | | | Code indicating type of action | | | | | | | |
|  | | | This segment is used to initially identify the type of 814 that is being sent or received. Ignore the ANSI X12 definition of the code. | | | | | | | |
|  | | | | 13 |  | Cleared | | | | |
|  | | | | | | Indicates Texas SET Transaction 814\_13 | | | |

**Segment: N1 Name (Transmission Distribution Service Provider)**

**Position:** 040

**Loop:** N1 Optional

**Level:** Heading

**Usage:** Optional

**Max Use:** 1

**Purpose:** To identify a party by type of organization, name, and code

**Syntax Notes:** **1** At least one of N102 or N103 is required.

**2** If either N103 or N104 is present, then the other is required.

**Semantic Notes:**

**Comments:** **1** This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

**2** N105 and N106 further define the type of entity in N101.

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Required if TDSP is the sender, or ERCOT is forwarding the 814\_13 reject from the TDSP, otherwise not used. |
|  |  | N1~8S~TDSP COMPANY~1~007909411~~41 (TDSP to ERCOT)  N1~8S~TDSP COMPANY~1~007909411~~OA (ERCOT is forwarding 814\_13 reject from the TDSP to the CR) |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **N101** | **98** | **Entity Identifier Code** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code identifying an organizational entity, a physical location, property or an individual | | | | | | | |
|  | | | | 8S |  | Consumer Service Provider (CSP) | | | | |
|  | | | | | | Transmission Distribution Service Provider (TDSP) | | | |
| **Must Use** | **N102** | **93** | **Name** | | | | **X** |  | **AN 1/60** | | |
|  | | | Free-form name | | | | | | | |
|  | | | TDSP Name | | | | | | | |
| **Must Use** | **N103** | **66** | **Identification Code Qualifier** | | | | **X** |  | **ID 1/2** | | |
|  | | | Code designating the system/method of code structure used for Identification Code (67) | | | | | | | |
|  | | | | 1 |  | D-U-N-S Number, Dun & Bradstreet | | | | |
|  | | | | 9 |  | D-U-N-S+4, D-U-N-S Number with Four Character Suffix | | | | |
| **Must Use** | **N104** | **67** | **Identification Code** | | | | **X** |  | **AN 2/80** | | |
|  | | | Code identifying a party or other code | | | | | | | |
|  | | | TDSP D-U-N-S Number or D-U-N-S + 4 Number | | | | | | | |
| **Must Use** | **N106** | **98** | **Entity Identifier Code** | | | | **O** |  | **ID 2/3** | | |
|  | | | Code identifying an organizational entity, a physical location, property or an individual | | | | | | | |
|  | | | | 41 |  | Submitter | | | | |
|  | | | | | | Only used by the TDSP. | | | |
|  | | | | OA |  | Electronic Return Originator | | | | |
|  | | | | | | Required when ERCOT is forwarding the 814\_13 reject from the TDSP. (The N106 code will be changed by ERCOT only). This code is the letter O (OA) and not Zero A (0A). | | | |

**Segment: N1 Name (ERCOT)**

**Position:** 040

**Loop:** N1 Optional

**Level:** Heading

**Usage:** Optional

**Max Use:** 1

**Purpose:** To identify a party by type of organization, name, and code

**Syntax Notes:** **1** At least one of N102 or N103 is required.

**2** If either N103 or N104 is present, then the other is required.

**Semantic Notes:**

**Comments:** **1** This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

**2** N105 and N106 further define the type of entity in N101.

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Required |
|  |  | N1~AY~ERCOT~1~183529049~~41 (ERCOT to New CR)  N1~AY~ERCOT~1~183529049~~40 (TDSP to ERCOT)  N1~AY~ERCOT~1~183529049~~41 (ERCOT to Current CR) |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **N101** | **98** | **Entity Identifier Code** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code identifying an organizational entity, a physical location, property or an individual | | | | | | | |
|  | | | | AY |  | Clearinghouse | | | | |
|  | | | | | | ERCOT | | | |
| **Must Use** | **N102** | **93** | **Name** | | | | **X** |  | **AN 1/60** | | |
|  | | | Free-form name | | | | | | | |
|  | | | ERCOT Name | | | | | | | |
| **Must Use** | **N103** | **66** | **Identification Code Qualifier** | | | | **X** |  | **ID 1/2** | | |
|  | | | Code designating the system/method of code structure used for Identification Code (67) | | | | | | | |
|  | | | | 1 |  | D-U-N-S Number, Dun & Bradstreet | | | | |
| **Must Use** | **N104** | **67** | **Identification Code** | | | | **X** |  | **AN 2/80** | | |
|  | | | Code identifying a party or other code | | | | | | | |
|  | | | ERCOT D-U-N-S Number | | | | | | | |
| **Must Use** | **N106** | **98** | **Entity Identifier Code** | | | | **O** |  | **ID 2/3** | | |
|  | | | Code identifying an organizational entity, a physical location, property or an individual | | | | | | | |
|  | | | | 40 |  | Receiver | | | | |
|  | | | | 41 |  | Submitter | | | | |

**Segment: N1 Name (Competitive Retailer)**

**Position:** 040

**Loop:** N1 Optional

**Level:** Heading

**Usage:** Optional

**Max Use:** 1

**Purpose:** To identify a party by type of organization, name, and code

**Syntax Notes:** **1** At least one of N102 or N103 is required.

**2** If either N103 or N104 is present, then the other is required.

**Semantic Notes:**

**Comments:** **1** This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

**2** N105 and N106 further define the type of entity in N101.

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Required if CR is the receiver, otherwise not used. |
|  |  | N1~SJ~NEW CR NAME~1~007909422~~40 (ERCOT to New CR)  N1~SJ~CURRENT CR NAME~1~007909422~~40 (ERCOT to Current CR) |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **N101** | **98** | **Entity Identifier Code** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code identifying an organizational entity, a physical location, property or an individual | | | | | | | |
|  | | | | SJ |  | Service Provider | | | | |
|  | | | | | | Competitive Retailer (CR) | | | |
| **Must Use** | **N102** | **93** | **Name** | | | | **X** |  | **AN 1/60** | | |
|  | | | Free-form name | | | | | | | |
|  | | | CR Name | | | | | | | |
| **Must Use** | **N103** | **66** | **Identification Code Qualifier** | | | | **X** |  | **ID 1/2** | | |
|  | | | Code designating the system/method of code structure used for Identification Code (67) | | | | | | | |
|  | | | | 1 |  | D-U-N-S Number, Dun & Bradstreet | | | | |
|  | | | | 9 |  | D-U-N-S+4, D-U-N-S Number with Four Character Suffix | | | | |
| **Must Use** | **N104** | **67** | **Identification Code** | | | | **X** |  | **AN 2/80** | | |
|  | | | Code identifying a party or other code | | | | | | | |
|  | | | CR D-U-N-S Number or D-U-N-S + 4 Number | | | | | | | |
| **Must Use** | **N106** | **98** | **Entity Identifier Code** | | | | **O** |  | **ID 2/3** | | |
|  | | | Code identifying an organizational entity, a physical location, property or an individual | | | | | | | |
|  | | | | 40 |  | Receiver | | | | |

**Segment: LIN Item Identification**

**Position:** 010

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** 1

**Purpose:** To specify basic item identification data

**Syntax Notes:** **1** If either LIN04 or LIN05 is present, then the other is required.

**2** If either LIN06 or LIN07 is present, then the other is required.

**3** If either LIN08 or LIN09 is present, then the other is required.

**4** If either LIN10 or LIN11 is present, then the other is required.

**5** If either LIN12 or LIN13 is present, then the other is required.

**6** If either LIN14 or LIN15 is present, then the other is required.

**7** If either LIN16 or LIN17 is present, then the other is required.

**8** If either LIN18 or LIN19 is present, then the other is required.

**9** If either LIN20 or LIN21 is present, then the other is required.

**10** If either LIN22 or LIN23 is present, then the other is required.

**11** If either LIN24 or LIN25 is present, then the other is required.

**12** If either LIN26 or LIN27 is present, then the other is required.

**13** If either LIN28 or LIN29 is present, then the other is required.

**14** If either LIN30 or LIN31 is present, then the other is required.

**Semantic Notes:** **1** LIN01 is the line item identification

**Comments:** **1** See the Data Dictionary for a complete list of IDs.

**2** LIN02 through LIN31 provide for fifteen different product/service IDs for each item. For example: Case, Color, Drawing No., U.P.C. No., ISBN No., Model No., or SKU.

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Required  Only 1 LIN Loop per EDI transaction is accepted in the Texas Market |
|  |  | LIN~1~SH~EL~SH~CE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **LIN01** | **350** | **Assigned Identification** | | | | **O** |  | **AN 1/20** | |
|  | | | Alphanumeric characters assigned for differentiation within a transaction set | | | | | | |
| **Must Use** | **LIN02** | **235** | **Product/Service ID Qualifier** | | | | **M** |  | **ID 2/2** | |
|  | | | Code identifying the type/source of the descriptive number used in Product/Service ID (234) | | | | | | |
|  | | | | SH |  | Service Requested | | | |
| **Must Use** | **LIN03** | **234** | **Product/Service ID** | | | | **M** |  | **AN 1/48** | |
|  | | | Identifying number for a product or service | | | | | | |
|  | | | Commodity | | | | | | |
|  | | | | EL |  | Electric Service | | | |
| **Must Use** | **LIN04** | **235** | **Product/Service ID Qualifier** | | | | **X** |  | **ID 2/2** | |
|  | | | Code identifying the type/source of the descriptive number used in Product/Service ID (234) | | | | | | |
|  | | | | SH |  | Service Requested | | | |
| **Must Use** | **LIN05** | **234** | **Product/Service ID** | | | | **X** |  | **AN 1/48** | |
|  | | | Identifying number for a product or service | | | | | | |
|  | | | | CE |  | Energy Services | | | |

**Segment: ASI Action or Status Indicator**

**Position:** 020

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** 1

**Purpose:** To indicate the action to be taken with the information provided or the status of the entity described

**Syntax Notes:**

**Semantic Notes:**

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Identifies the action to be taken or the status of a requested action for the service identified in the LIN segment. |
|  |  | Required |
|  |  | ASI~WQ~001 |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **ASI01** | **306** | **Action Code** | | | | **M** |  | **ID 1/2** | |
|  | | | Code indicating type of action | | | | | | |
|  | | | | U |  | Reject | | | |
|  | | | | WQ |  | Accept | | | |
| **Must Use** | **ASI02** | **875** | **Maintenance Type Code** | | | | **M** |  | **ID 3/3** | |
|  | | | Code identifying the specific type of item maintenance | | | | | | |
|  | | | | 001 |  | Change | | | |

**Segment: REF Reference Identification (Rejection Reason)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent, by repeating the REF~7G segment.  Accept Response: Not Used  Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | |
|  | | | | 7G |  | Data Quality Reject Reason | | | | |
|  | | | | | | Reject reasons associated with a reject status notification. | | | |
| **Must Use** | **REF02** | **127** | **Reference Identification** | | | | **X** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | |
|  | | | | 008 |  | ESI ID exists but is not active | | | | |
|  | | | | 017 |  | Service Terminated Because the Service Provider Went Out of Business | | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT use only. | | | |
|  | | | | 090 |  | Greater than 90 in the future | | | | |
|  | | | | | | Transaction requested a date greater than 90 days in the future. | | | |
|  | | | | 270 |  | Greater than 270 in the past | | | | |
|  | | | | | | Transaction requested a date greater than 270 days in the past. | | | |
|  | | | | A13 |  | Other | | | | |
|  | | | | | | Explanation Required in REF03. | | | |
|  | | | | A76 |  | ESI ID Invalid or Not Found | | | | |
|  | | | | A78 |  | Item or Service already Established | | | | |
|  | | | | | | Requested action has already completed. Used by TDSP and ERCOT only | | | |
|  | | | | A79 |  | Item or Service Not Established | | | | |
|  | | | | | | The reference number (BGN06) does not match a previous reference number. | | | |
|  | | | | A83 |  | Invalid or Unauthorized Action | | | | |
|  | | | | | | Information provided was not supported in the Texas SET Standards. | | | |
|  | | | | ACI |  | Action Code (ASI01) Invalid | | | | |
|  | | | | API |  | Required Information Missing | | | | |
|  | | | | | | Explanation Required in REF03. May not be used in place of other, more specific error codes. | | | |
|  | | | | CW1 |  | Cannot Change on the Day of Scheduled Meter Read Date or in the past | | | | |
|  | | | | | | ERCOT and TDSPs will reject any 814\_12 transaction received on the scheduled move in or move out date, as well as date change requests on orders that are scheduled in the past. | | | |
|  | | | | D76 |  | DUNS Number Invalid or Not Found | | | | |
|  | | | | DCI |  | Date Change Request Ineligible | | | | |
|  | | | | DIP |  | Date In Past | | | | |
|  | | | | | | Date Change Request In the Past. | | | |
|  | | | | DIV |  | Date/Time Invalid | | | | |
|  | | | | DNS |  | Date Change Not available on Switch | | | | |
|  | | | | DUP |  | Duplicate | | | | |
|  | | | | | | Transaction submitted contains the same BGN02, BGN06, (if applicable), and ESI-ID as another received transaction from the same CR. For ERCOT use only. | | | |
|  | | | | FME |  | Force Majeure Event | | | | |
|  | | | | ICD |  | Iteration Counter Duplicate | | | | |
|  | | | | | | Iteration counter already received at ERCOT. For ERCOT Use Only. | | | |
|  | | | | ICL |  | Iteration Count Lower | | | | |
|  | | | | MTI |  | Maintenance Type Code (ASI02) Invalid | | | | |
|  | | | | NOR |  | Not Initiator of Enrollment Request | | | | |
|  | | | | | | Used when the CR submitting the Date Change request did not submit the original initiating transaction. For ERCOT use only. | | | |
|  | | | | RNE |  | Request Not Eligible | | | | |
|  | | | | | | Start date requested is earlier than the ESI-ID start date  For ERCOT Use Only | | | |
|  | | | | RSD |  | Received Scheduled Date | | | | |
|  | | | | | | Date change received on same day of the requested date change. | | | |
|  | | | | SCP |  | Scheduling Conflict Priority | | | | |
|  | | | | | | Requested date caused conflict with transaction currently scheduled. Currently scheduled transaction scheduled to execute within 2 business days. | | | |
|  | | | | TCC |  | Competing Transaction Scheduled for the Same Date | | | | |
|  | | | | TDR |  | Two Business Days Notification Required | | | | |
|  | | | | ZIP |  | Invalid Zip Code | | | | |
|  | | | | | | Only applicable to the first five characters of the zip code, which are used for validation. ERCOT is the only entity that may validate on Zip Code. | | | |
| **Dep** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | |
|  | | | Used to further describe the reason code sent in REF02. Codes "A13" and "API" require a text explanation in this element. | | | | | | | |

**Segment: REF Reference Identification (ESI ID)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Required  Only one REF~Q5 will be sent per transaction. |
|  |  | REF~Q5~~10111111234567890ABCDEFGHIJKLMNOPQRS |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | |
|  | | | | Q5 |  | Property Control Number | | | | |
|  | | | | | | Electric Service Identifier (ESI ID) | | | |
| **Must Use** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | |
|  | | | ESI ID  ESI ID will only contain uppercase letters (A to Z) and digits (0 to 9). Note that punctuation (spaces, dashes, etc.) and special characters must be excluded. ESI ID length must be at least 8 and no more than 36 characters. | | | | | | | |

**Segment: DTM Date/Time Reference (Move In Date)**

**Position:** 040

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** **1** At least one of DTM02 DTM03 or DTM05 is required.

**2** If DTM04 is present, then DTM03 is required.

**3** If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:**

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Accept Response: Either DTM~375 (Move In Date) or DTM~376 (Move Out Date) must be populated by the TDSP but not both. ERCOT will pass through DTM~375 or DTM~376 received from TDSP.  Reject Response: Not Used  Only one DTM~375 will be sent per transaction. |
|  |  | DTM~375~20010515 |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **DTM01** | **374** | **Date/Time Qualifier** | | | | **M** |  | **ID 3/3** | |
|  | | | Code specifying type of date or time, or both date and time | | | | | | |
|  | | | | 375 |  | Delivery Start | | | |
| **Must Use** | **DTM02** | **373** | **Date** | | | | **X** |  | **DT 8/8** | |
|  | | | Date expressed as CCYYMMDD | | | | | | |
|  | | | Date | | | | | | |

**Segment: DTM Date/Time Reference (Move Out Date)**

**Position:** 040

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** **1** At least one of DTM02 DTM03 or DTM05 is required.

**2** If DTM04 is present, then DTM03 is required.

**3** If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:**

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Accept Response: Either DTM~375 (Move In Date) or DTM~376 (Move Out Date) must be populated by the TDSP but not both. ERCOT will pass through DTM~375 or DTM~376 received from TDSP.  Reject Response: Not Used  Only one DTM~376 will be sent per transaction. |
|  |  | DTM~376~20010515 |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **DTM01** | **374** | **Date/Time Qualifier** | | | | **M** |  | **ID 3/3** | | |
|  | | | Code specifying type of date or time, or both date and time | | | | | | | |
|  | | | | 376 |  | Delivery End | | | | |
|  | | | | | | Move Out Date | | | |
| **Must Use** | **DTM02** | **373** | **Date** | | | | **X** |  | **DT 8/8** | | |
|  | | | Date expressed as CCYYMMDD | | | | | | | |
|  | | | Date | | | | | | | |

**Segment: DTM Date/Time Reference (RTO=Referred To Date)**

**Position:** 040

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** 10

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** **1** At least one of DTM02 DTM03 or DTM05 is required.

**2** If DTM04 is present, then DTM03 is required.

**3** If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:**

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Required  Only one DTM~RTO will be sent per transaction. |
|  |  | DTM\*RTO\*20020131\*18000000 |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **DTM01** | **374** | **Date/Time Qualifier** | | | | **M** |  | **ID 3/3** | | |
|  | | | Code specifying type of date or time, or both date and time | | | | | | | |
|  | | | | RTO |  | Referred To Date | | | | |
|  | | | | | | The reference date and time for the series of 814\_12 and 814\_13 transactions that are related to each other. ERCOT, TDSP and secondary REP are responsible for ensuring that any 814\_13 uses the DTM~RTO of the original 814\_12 submitted by the REP to which the new transaction corresponds. MIMO Rules: ERCOT 22, REP 8, TDSP 5. | | | |
| **Must Use** | **DTM02** | **373** | **Date** | | | | **X** |  | **DT 8/8** | | |
|  | | | Date expressed as CCYYMMDD | | | | | | | |
| **Must Use** | **DTM03** | **337** | **Time** | | | | **X** |  | **TM 4/8** | | |
|  | | | Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99) | | | | | | | |
|  | | | HHMMSSDD, where H = Hours (00-23), M = Minutes (00-59) and S = Integer Seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: DD = hundredths (00-99) in prevailing time at the meter controls. Midnight should be 00000000 on the new day. | | | | | | | |

**Segment: SE Transaction Set Trailer**

**Position:** 150

**Loop:**

**Level:** Detail

**Usage:** Mandatory

**Max Use:** 1

**Purpose:** To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

**Syntax Notes:**

**Semantic Notes:**

**Comments:** **1** SE is the last segment of each transaction set.

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Required |
|  |  | SE~10~000000001 |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **SE01** | **96** | **Number of Included Segments** | **M** |  | **N0 1/10** | |
|  | | | Total number of segments included in a transaction set including ST and SE segments | | | |
| **Must Use** | **SE02** | **329** | **Transaction Set Control Number** | **M** |  | **AN 4/9** | |
|  | | | Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set | | | |